

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A.S. Hoffman et al. Attorney Docket No.: UWOTL119001  
Application No.: 09/755,701 Art Unit: 1614 / Confirmation No.: 3998  
Filed: January 5, 2001 Examiner: R.J. Henley, III  
Title: ENHANCED TRANSPORT USING MEMBRANE DISRUPTIVE AGENTS

REQUEST FOR REFUND  
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Seattle, Washington 98101

December 29, 2009

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for a refund with respect to the charge to Deposit Account No. 03-1740, shown on the statement dated September 2009 for the above-identified patent application. A copy of the pertinent pages of the monthly statement in which the referenced error occurs is included in this request.

II. Fees Charged for Which Refund Requested

	Amount of Fee Requested
2202 - Claims in excess of 20 (7@\$26 each)	182.00
<u>Total Refund Requested:</u>	<b>\$182.00</b>

III. Explanation of Why Contested Charge Is in Error

At the time the application was originally filed on January 5, 2001, the initial filing fee of \$355, multiple dependent claim fee of \$135, and additional claim fee in the amount of \$270 for 30 claims over 20, were paid (see attached Fee Transmittal and USPTO Fee Record Sheet). When the RCE and Amendment Submitted With RCE were filed on September 28, 2009, a total of 31 claims were submitted. As the original number of claims paid for was 50, no additional claim fee was due.

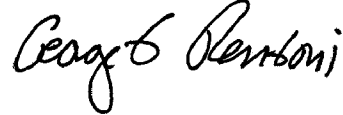
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

IV. Manner of Refund

Please provide us with a refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



George E. Renzoni, Ph.D.  
Registration No. 37,919  
Direct Dial No. 206.695.1755

GER:mjd

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

**Requested Statement Month:** September 2009  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Street Address 1:** 2800 PACIFIC FIRST CENTRE  
**Street Address 2:** 1420 FIFTH AVENUE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
09/02	45	12411090	VOPA133077	2251	-\$65.00	\$19,301.12
09/03	10610	77585341	KPEP-6-3137	7402	\$300.00	\$19,001.12
09/03	9	SUBSCRIPTION		8001	\$3.00	\$18,998.12
09/03	19	12411090	VOPA133077	2252	\$65.00	\$18,933.12
09/03	50	10937060	MS 309089.01	1801	-\$810.00	\$19,743.12
09/08	4	79059387	MADRID.20081117	6005	\$100.00	\$19,643.12
09/08	58	0859247	SUNOST-4-7648	6005	\$100.00	\$19,543.12
09/09	7293	12550036	SGIP133733	8021	\$40.00	\$19,503.12
09/09	10833	61240642	MADEAW133768	1005	\$220.00	\$19,283.12
09/10	15	SUBSCRIPTION		8001	\$6.00	\$19,277.12
09/10	3	SUBSCRIPTION		8001	\$3.00	\$19,274.12
09/10	19	10517248	MICS124074	2202	-\$26.00	\$19,300.12
09/10	2	11835269	TALY129909	2202	\$104.00	\$19,196.12
09/10	14734	78663596	CAWE	7005	\$100.00	\$19,096.12
09/10	14735	78663596	CAWE	7004	\$450.00	\$18,646.12
09/14	1	12303078	MASQ132271	1616	\$390.00	\$18,256.12
09/14	2	12303078	MASQ132271	1615	\$520.00	\$17,736.12
09/14	3	12303078	MASQ132271	1681	\$810.00	\$16,926.12
09/14	1	11244563	LEPA125238	1252	\$330.00	\$16,596.12
09/14	14444	77363769	JPDU-2-36248	7003	\$100.00	\$16,496.12
09/17	15	SUBSCRIPTION		8001	\$3.00	\$16,493.12
09/22	1	12369675	THAS132957	2201	\$110.00	\$16,383.12
09/24	2011	77647735	KZOO-6-3142	7402	\$300.00	\$16,083.12
09/24	3	SUBSCRIPTION		8001	\$6.00	\$16,077.12
09/25	6	11514753	128014	1201	-\$220.00	\$16,297.12
09/28	7	77174703	LOLU-2-35464	7001	-\$650.00	\$16,947.12

09/28 8	77977962		7001	\$650.00	\$16,297.12
09/29 9	09755701	UWOTL119001	2202	\$182.00	\$16,115.12
09/30 35	11835269	TALY129909	2202	-\$104.00	\$16,219.12

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$19,236.12	\$4,892.00	\$1,875.00	\$16,219.12

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	January 5, 2001
First Named Inventor	Allan S. Hoffman
Examiner Name	
Group Art Unit	
Attorney Docket No.	UWS 105

TOTAL AMOUNT OF PAYMENT (\$)**760.00****METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	01-2507
Deposit Account Name	Arnall Golden & Gregory, LLC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
50	-20 = 30	9.00	270.00
3	-3 = 0	0	0
Multiple Dependent		135.0	135.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**405.00****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Robert A. Hodges	Registration No. (Attorney/Agent)	41,074	Telephone	404-873-8796
Signature		Date	January 5, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/10/2001 HNDOR1 00000034 09755701

01 FC:201	355.00	OP
02 FC:203	270.00	OP
03 FC:204	135.00	OP

06/12/2001 CVDORACHA 00000010 012507 09755701

01 FC:203	810.00	CH
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